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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/633,789      | 08/04/2003  | Curtis C. Harris     | 015280-225111US     | 6897             |

20350 7590 09/21/2006

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| EXAMINER |
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GUPTA, ANISH

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| ART UNIT | PAPER NUMBER |
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1654

DATE MAILED: 09/21/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/633,789

Applicant(s)

HARRIS ET AL.

Examiner

Anish Gupta

Art Unit

1654

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 01 August 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1 and 16-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1 and 16-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>8-4-03</u> . | 6) <input type="checkbox"/> Other: _____  |

### DETAILED ACTION

1. Applicant's election of Group I in the reply filed on 8-1-06 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)).

Applicant canceled claims 2-9 and 11-15 which were restricted in the previous office action into Groups II-V. Claims 16-24 were added. Claims 1, 16-24 are pending in this Application.

### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

2. Claims 1, 16-24 are rejected under 102(e) as being anticipated by White et al. (US 5604113).

The claims are drawn to a method for screening a compound for an ability to modulate apoptosis.

The reference of White et al. teach a method of identifying compounds and compositions that interact with putative onogenes by testing the ability of these compounds to suppress the p53 mediated actions of the putative oncogene (see col. 13, lines 7-11). The reference states that the test compound is added to cell that express (i) a gene product that induces p53 mediated apoptosis; (ii) a gene product for a p53 gene, wherein either the gene or the gene product are externally controllable; and (iii) a putative oncogene that inhibits the effect of the gene product that induces p53 mediated apoptosis, and (B) examine said cells to determine whether apoptosis has occurred or proliferation has been controlled or induced. For example, 10 ul of a 1 mg/ml solution of 96 compounds can be added to such cells grown and maintained at the permissive temperature in a 96-well microtiter plate. (Other concentrations may be used, based on what is known about cytotoxicity of each compound or composition.) Apoptosis will typically occur in 24-48 hours and requires minimal intervention. If the test compounds cause cells to die or to cease proliferating, this may be due to p53-mediated events, or to general cytotoxicity. The compounds or compositions that have an effect would be further tested by serially diluting the compound to determine that minimally effective concentration (see col. 13, lines 11-30). This disclosure meets the limitation of the claims because the reference disclose all of active method steps, i.e. the addition of the test compound to a cell and determination if the test compound modulates apoptosis. Although the reference does not teach helicase XPB or XPD or inhibition of binding of p53 to the helicase, such activity would inherently be present since the reference disclose death of cells are due to p53 mediated events.


3. Claims 1, 16-24 are rejected under 102(e) as being anticipated by Reed et al. (US 5484710).

The claims are drawn to a method for screening a compound for an ability to modulate apoptosis.

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Reed et al. teach screening assay for identifying agents that inhibit p53 mediated regulation of a agent containing the p53-RE and thus can reduce or inhibit apoptosis in a cell (see col. 16, example V). The reference also discloses assay methods for identifying agents that can act as p53 analogs and can induce apoptosis in a cell (see example IV, col. 15). For methods to identify p53 analogs, the cells utilized include p-53 null cell lines or tumor cell lines that express mutant p53 gene and is obtained from cancer patients (see col. 15 and 16). For methods involving agents that inhibit apoptosis in cells, the reference states that the cell can be either 1) a cell that is obtained, for example, from the American Tissue Type Culture and is known to exhibit the characteristics of a cell obtained from a patient having a particular disease such as ataxia telangiectasia or 2) a neuronal cell line such as the cell lines described by Behl et al. (1993) that is exposed, for example, to amyloid beta protein (ABP) or to glutamate and, therefore, is a model for the type of cell death that occurs in Alzheimer's disease or in stroke, respectively. In this case, the assay provides the advantage that the cell lines that are used in the assay are adapted for tissue culture (see col. 18). This disclosure meets the limitation of the claims because the reference discloses all of the active method steps, i.e. the addition of the test compound to a cell and determination if the test compound modulates apoptosis. Although the reference does not teach helicase XPB or XPD or inhibition of binding of p53 to the helicase, such activity would inherently be present since the reference discloses death of cells is due to p53 mediated events.

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anish Gupta whose telephone number is (571)272-0965. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang, can normally be reached on (571) 272-0562. The fax phone number of this group is (571)-273-8300.

 9/18/06  
**ANISH GUPTA**  
**PRIMARY EXAMINER**